APPROVED by the General Meeting of Members of the Horse Breeding Development Association, Minutes No. 4 dated 10 February 2019.

[The original bears the Association's horse logo, a handwritten signature and a round seal: Horse Breeding Development Association, Moscow, OGRN 1187700005426.]

REGULATIONS ON THE PROCEDURE FOR THE HORSE BREEDING DEVELOPMENT ASSOCIATION'S ANALYSIS OF ITS MEMBERS' ACTIVITIES BASED ON INFORMATION THEY SUBMIT IN REPORTS

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Contents (page numbers refer to the Russian original)

1. General provisions - 3
2. Procedure and form for information submitted by ARK members - 3
3. Methods of receiving, processing and storing information in the Report - 4
4. ARK's procedure for analysing members' activities. Use of analysis results - 5
5. Final provisions - 6
APPENDIX - 7

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1. General provisions

1.1. These Regulations establish the procedure for the Horse Breeding Development Association's analysis of its members' activities based on information submitted in reports (hereinafter respectively the Regulations and ARK).
1.2. They were developed under Federal Law No. 315-FZ of 1 December 2007, On Self-Regulatory Organisations, and ARK's Charter.
1.3. Their requirements govern timely receipt of reliable information on members' activities, and its analysis and use to achieve ARK's objectives under Russian legislation, its Charter and internal documents (hereinafter ARK's objectives).
1.4. Members shall submit information on their activities necessary for ARK's objectives under these Regulations.
1.5. These requirements are binding on all members, bodies and employees of ARK.

2. Procedure and form for information submitted by ARK members

2.1. A member submits information on its activities as a report for the preceding calendar year, using the Appendix form and executed under clause 3.3 (hereinafter the Report).
2.2. Under clause 2.5, ARK may request information on a member's activities not contained in the Report when needed for ARK's objectives in analysing that member (hereinafter an Information Request).
2.3. Members shall submit:
- the Report annually no later than 1 March of the year following the reporting year;
- a response to an Information Request within its specified deadline, but no later than 10 (ten) working days after receipt;
- new (corrected) information where previously reported data change, no later than 3 (three) working days after the change.
2.4. Following admission of a legal entity or individual entrepreneur, an initial activity analysis is performed. The new member shall submit the Report within 10 (ten) working days after the admission decision adopted at an ARK Supervisory Board meeting takes effect.
2.5. ARK may send an Information Request on paper to the member's address (location) by registered post with acknowledgement of receipt or by delivery

by courier, or electronically over the Internet to the email address provided by the member.
2.6. Failure to submit the Report, late submission contrary to clauses 2.3 and 2.4, or submission of inaccurate information breaches membership conditions and provides grounds for disciplinary liability under ARK's internal documents.
2.7. ARK is not responsible for the accuracy of information submitted by members.

3. Methods of receiving, processing and storing information in the Report

3.1. A member may submit the Report on paper, as an electronic document bearing an electronic signature, or under clause 3.2.
A paper Report is delivered to ARK's address (location) personally by the member's head or another authorised person, by registered post with acknowledgement of receipt enclosing the original, or by courier. For personal delivery, ARK's document-management employee marks a copy with the receipt time and date. A member submitting on paper may also email an electronic version without an electronic signature.
A Report bearing an electronic signature is emailed to ARK's address stated on its official website. No paper submission is then required.

3.2. ARK may use electronic submission, including a member's Personal Account on its official website or in separate software (hereinafter the Personal Account).
Where available, the Report and attachments may be submitted by uploading to the Personal Account; no paper submission is required.
A Report submitted through the Personal Account is deemed duly submitted. ARK may provide information and documents to members by placing them in their Personal Accounts.
Use is possible where ARK has appropriate software enabling receipt and transmission of electronic documents under Russian legislation, including verification of enhanced qualified electronic signatures.
3.3. The Report shall be signed by the head of the legal entity, the individual entrepreneur or another authorised person, with an attached

document confirming authority to sign (a power of attorney signed by an authorised person and bearing a seal, if any, or another document).
3.4. Information is processed in accordance with Russian legislation.
3.5. Analysis and storage shall comply with information-protection rules to prevent misuse and harm and/or damage to members, their employees and ARK, or conditions conducive to such harm or damage.

4. ARK's procedure for analysing members' activities. Use of analysis results

4.1. ARK analyses members' activities, consolidates information and plans its own activities towards its objectives using Reports and Information Request responses, information obtained when necessary through lawful requests to authorised federal executive authorities, constituent-entity public authorities and local self-government bodies, and information obtained when necessary from open sources. Open sources used comprise a member's official website, the unified procurement information system, court decisions, registers and databases of state and municipal authorities, and official websites of federal executive authorities, constituent-entity public authorities and local self-government bodies.

4.2. If a member does not submit the Report, ARK analyses its activities using open-source information and is not responsible for its accuracy.
4.3. Following analysis of members' Reports, ARK's Director prepares a consolidated report on members' activities and submits it to the Supervisory Board for consideration.
4.4. Members are informed of the consolidated report by delivery on paper to their addresses (locations) by registered post with acknowledgement of receipt or courier, and electronically to their email addresses.
4.5. Individual members' Report information is used to prepare the Member Inspection Plan and may provide grounds for an unscheduled inspection, assessment of a member's business reputation and disciplinary measures under ARK's internal procedures.

4.6. Data in ARK's consolidated report on members' activities are public and shall be supplied on request to any interested person.
4.7. Analysis may produce conclusions about the state of members' activities, recommendations to eliminate negative factors affecting them, and proposals to prevent negative activity indicators.

5. Final provisions

5.1. These Regulations take effect on approval, but not before ARK's details are entered in the state register of self-regulatory organisations under Federal Law No. 315-FZ of 1 December 2007, On Self-Regulatory Organisations.
5.2. Amendments are made in accordance with Russian legislation and ARK's Charter by a decision of the General Meeting of Members.
Amendments take effect on approval unless another effective date is specified, but not before ARK's details are entered in the state register of self-regulatory organisations under Federal Law No. 315-FZ of 1 December 2007, On Self-Regulatory Organisations.

Appendix to the Regulations on the Horse Breeding Development Association's Procedure for Analysing Its Members' Activities Based on Information Submitted in Reports, approved by the General Meeting of Members (Minutes No. 4 dated 10 February 2019).

Activity Report of a Member of the Horse Breeding Development Association for the year _____

Section 1. General information

No.	Type of information	Information
1	Full name of the legal entity / full name of the individual entrepreneur:	
2	Abbreviated name of the legal entity:	
3	OGRN / OGRNIP (primary state registration number / individual entrepreneur's registration number), registration date:	
4	INN (taxpayer identification number), KPP (registration reason code), tax registration date:	
5	Location address (legal entity) / residence address (individual entrepreneur):	
6	Additional addresses (actual address, additional offices, branches and representative offices):	
7	Correspondence address (postal address):	
8	Email address (if any):	
9	Official website address on the Internet (if any):	
10	Telephone/fax (including area code):	
11	Head (full name, position, contact details, mobile telephone):	
12	Chief accountant:	

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No.	Type of information	Information
	(Full name, telephone)	
13	Contact (authorised) person liaising with the ARK self-regulatory organisation (full name, position, telephone):	
14	Principal activity (specify OKPD2 code):	
15	Additional activities (specify OKPD2 code), if any:	
16	Staff headcount for the reporting period:	

Section 2. Payment of ARK membership contributions

No.	Type of contribution	Amount	Payment date	General Meeting decision determining contribution amount and payment method (Minutes dated «__» ____ 20__ No. ____)
1	2	3	4	5

Section 3. Contracts for supply of goods (provision of services, performance of works) concluded without competitive contracting procedures*

* Complete this section if such contracts exist.

No.	Customer name (goods, works, services), INN, addresses and contact telephone numbers	Contract date, number and subject	Quantity of goods (volume of services or works)	Contract price	Contract term / fulfilment date (if fulfilled by the parties)	Start and end dates of delivery (services / works)
1	2	3	4	5	6	7

Section 4. Contracts for supply of goods (provision of services, performance of works) concluded using competitive contracting procedures*

* Complete this section if such contracts exist.

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No.	Procurement notice number. Indication of the federal	Tender date	Customer name (goods, works, services), INN, addresses	Contract date, number and subject	Quantity of goods (volume of services,	Contract price	Contract term / fulfilment date	Delivery date (services /

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	law under which procurement is conducted		and contact telephone numbers		works performed)		(if fulfilled by the parties)	works)
1	2	3	4	5	6	7	8	9

Section 5. Member participation in court proceedings concerning civil-law disputes arising from non-performance (improper performance) of goods supply (services, works) contracts or from causing harm*

* Complete if such disputes exist.
Number of court cases concerning civil-law disputes _____ (specify number)* OR NONE (underline the applicable option).

No.	Dispute type	Jurisdiction and case number	Party status: claimant, defendant or third party (specify)	Court instance	Outcome, court decision number and date
1	2	3	4	5	6

Section 6. Accidents, fires, personal-injury accidents and cases of harm during activities*

* Complete if such incidents occurred.
Number of accidents, fires, personal-injury accidents and cases of harm during the reporting period _____ (specify number)* OR NONE (underline the applicable option).

No.	Type: accident, fire, personal-injury accident (specify)	Person at fault	Investigation result	Measures taken	Details of official records and decisions and court cases (if any)

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No.	Type: accident, fire, personal-injury accident (specify)	Person at fault	Investigation result	Measures taken	Details of official records and decisions and court cases (if any)
1	2	3	4	5	6

Section 7. Administrative liability for offences committed in the course of activities*

* Complete if such administrative offences occurred.
Number of administrative offences committed during activities _____ (specify number)* OR NONE (underline the applicable option).

No.	Type of offence; article (number, paragraph) of the Russian Federation Code of Administrative	Number and date of the record and decision on an administrative	Person at fault	Measures taken

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	Offences	offence		
1	2	3	4	5

Section 8. Orders issued by state control (supervisory) authorities*

* Complete if such orders exist.
Number of state control (supervisory) orders _____ (specify number)* OR NONE (underline the applicable option).

No.	Subject of order	Identifying details of document imposing the order	Person at fault	Outcome (compliance)	Measures taken
1	2	3	4	5	6

Section 9. Quantitative and qualitative indicators of productivity and selective breeding work*

* Completed by an ARK member engaged in horse breeding.

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Indicators	
1	2
Total agricultural land available, ha	
Including:	
Arable land, ha	
Hayfields and pastures, ha	
Total horses, head	
Including:	
Breeding stallions	
Mares	
Total purebred horses, head	
Including:	
Breeding stallions	
Mares	
Elite-class horses, head	
Including:	
Breeding stallions	
Mares	
Replacement youngstock	
Total entered in State Books of Pedigree Animals, head	
Breeding stallions	
Mares	
Breeding stallions assessed for progeny quality, head	

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Indicators	
Horses issued passports, head	
Including:	
Colts	
Fillies	
Viable foal yield per 100 mares, %	
Total pedigree youngstock sold	
Including:	
Stallions, head	
Mares, head	
Including:	
Elite class, %	
Class I, %	
Including:	
For export, head	
Pedigree animals purchased, head [1]	
Value of 1 horse sold, including for export, rubles	
Keeping and rearing costs for youngstock of all ages per 1 head, rubles	
Genetic verification of pedigree, total head	
Including:	
Stallions	
Mares	
Existence of a selective breeding plan and its developer	

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Indicators	
Profit (+), loss (-), thousand rubles	
Horse-breeding profitability, %	
Veterinary and sanitary condition of the holding	

Section 10. Quantitative and qualitative indicators of productivity and selective breeding work*

* Completed by an ARK member conducting horse performance trials (racecourse).

Indicators	
1	2
Total agricultural land available, ha	
Including:	
Arable land, ha	
Hayfields and pastures, ha	
Availability of a special track and/or fields for pedigree horse performance trials, total	

[1] Imported breeding material (products) is confirmed by a breeding certificate (attestation) of the importing country.

Indicators	
Compliance with the standard's requirements and norms for tracks, %	
Total horses trialled, head	
Including:	
Trotting breeds	
Riding breeds	
Heavy-draft breeds	
Including horses belonging to breeding stud farms, total head	
Of these:	
Trotting breeds	
Riding breeds	
Total trial days	
Including:	
Trotting breeds	
Riding breeds	
Heavy-draft breeds	
Total horse appearances, head	
Including:	
Trotting breeds	
Riding breeds	
Heavy-draft breeds	
Trial results for trotting, riding and heavy-harness horses (results statement specifying prizes)	
Profit (+), loss (-), thousand rubles	

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Indicators	
Horse-breeding profitability, %	
Veterinary and sanitary condition of the holding	

Section 11. Quantitative and qualitative indicators of productivity and selective breeding work*

* Completed by an ARK member conducting horse performance trials (stud stallion station / заводская конюшня).

Indicators	
1	2
Total agricultural land available, ha	
Including:	
Arable land, ha	
Hayfields and pastures, ha	
Total breeding stallions, head	
Of these: purebred, head	
Including:	
Pedigree verified by genetic testing, head	
Including:	
Improvers, head	
Including:	

Indicators	
Elite and Elite-Record class, head	
Breeding stallions entered in State Books of Pedigree Animals (GK PZh), head	
Mares covered or artificially inseminated by station stallions, head	
Mares owned by individuals and legal entities in the station's service area, head	
Total mares foaled during the year	
Foals obtained in the station's service area	
Including:	
Per 100 mares, head	
Breeding products sold: stallions, head / semen, doses	
Breeding products purchased: [2]	
Stallions, head	
Semen, doses	
Keeping cost per 1 head, rubles	
Total genetic tests performed, head	
Including:	
Stallions	
Mares	
Profit (+), loss (-), thousand rubles	
Horse-breeding profitability, %	
Veterinary and sanitary condition of the holding	

Date: «___» _____ _____
Head / individual entrepreneur [3] _____ / _____ /
(signature) (full name)
Seal (if any)

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Prepared by: _____ Telephone: _____
(position, full name)

[2] Imported breeding material (products) is confirmed by a breeding certificate (attestation) of the importing country.
[3] Or another authorised person.